

PEP Declaration Form

Please answer the questions below to declare your PEP* status:

1) Enter your

Full name: _____

10-digit mobile number: _____

2) Do you currently work (or have you worked, in the previous 12 months) in eminent public duty, including but not limited to Head of State/Government, Senior Politician, Senior Government/Judicial/Military Officer, Senior Executive of state-owned corporations, or important political party offices in your country of permanent/usual residence?

Yes ☐

No ☐

3) If the answer to the above question is "Yes", please share the following details:

i) Nature of work: _____

ii) Name of the organization/firm/party affiliated with: _____

iii) Workplace location: _____

iv) Number of years in the profile: _____ years, since _____ to _____

4) Do you have a close family member or close associate in eminent public duty, including but not limited to the Head of State/Government, Senior Politician, Senior Government/Judicial/Military Officer, or Senior Executive of state-owned corporations?

Yes ☐

No ☐

5) If the answer to the above question is "Yes", please share the following details:

i) Name of the family member/close associate**: _____

ii) Nature of the relationship with the PEP: _____

iii) Nature of their work: _____

iv) Name of the organization/firm/party they are affiliated with: _____

v) Workplace location: _____

vi) Number of years in the profile: _____ years, since _____ to _____

6) Declare the sources of funds for you and/or the politically exposed family members/close associates.

i) Primary income from _____

ii) Other Income from _____

7) Declare the entities where you and/or the politically exposed family members/close associates hold beneficial ownership***. _____

Customer Signature

Date & Time

Note: Along with this form, kindly share an Officially Valid Document (OVD) listed in the Master Direction of KYC for Proof of Identification and Address (For example: Passport, Driving license, PAN, or Form 60). If you are a foreign national, and your OVD does not contain address details, documents issued by Government departments of foreign jurisdictions and letters issued by the Foreign Embassy or Mission in India shall be accepted as proof of address.

**Definition of PEP: "Politically Exposed Persons" (PEPs) are individuals who are or have been entrusted with prominent public functions by a foreign country, including the Heads of States/Governments, senior politicians, senior government or judicial or military officers, senior executives of state-owned corporations and important political party officials." - RBI, KYC (Know Your Customer) Direction*

***Details of the family member/close associates: Here family members refers to Parents, Siblings, Spouse, Children. Close associates refers to Partners, Friends, Relatives and other associates.*

*****Beneficial Ownership is defined as follows:**

- a) For a company, the beneficial owner is the natural person(s), who, whether acting alone or together or through one or more juridical persons, has/have a controlling ownership interest or who exercises control through other means.
Definitions:
i) "Controlling ownership interest" means ownership of or entitlement to more than 25 percent of the shares or capital or profits of the company".
ii) "Control" shall include the right to appoint the majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders agreements or voting agreements".
- b) For a partnership firm, the beneficial owner is the natural person(s), who, whether acting alone or together or through one or more juridical persons, has/have ownership of/entitlement to more than 15 percent of capital or profits of the partnership.
- c) For an unincorporated association or body of individuals, the beneficial owner is the natural person(s), who, whether acting alone or together or through one or more juridical persons, has/have ownership of/entitlement to more than 1 percent of the property or capital or profits of the unincorporated association or body of individuals. *Explanation: The term 'body of individuals' includes societies. Where no natural person is identified under (a), (b) or (c) above, the beneficial owner is the relevant natural person who holds the position of senior managing official".*
- d) For a trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with 15% or more interest in the trust, and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.